An Analysis of Negative Politeness Strategy Used by Harry Potter
In JK Rowling’s Novel “Harry Potter and the Chamber of Secrets”

A THESIS

Submitted to the English Education Department Tarbiyah Faculty of Syekh Nurjati State Institute for Islamic Studies in Partial Fulfillment of Requirements for the Islamic Scholar in English Education (S.Pd.I)

By:

SITI NURZANAH
Reg. Number: 58430506

ENGLISH EDUCATION DEPARTMENT OF TARBIYAH FACULTY OF SYEKH NURJATI STATE INSTITUTE FOR ISLAMIC STUDIES
CIREBON
2012
ABSTRACT

SITI NURZANAH
Reg. Number 58430506

“AN ANALYSIS OF NEGATIVE POLITENESS STRATEGY USED BY HARRY POTTER IN J.K. ROWLING’S NOVEL HARRY POTTER AND THE CHAMBER OF SECRETS”

Mostly people read novel just for having relax. After reading novel they get nothing. Novel is one of media that can be used for communication means. It contains many conversation and speech acts. In fact, novel can be analyzed in many aspects, such as psychological aspect, sociolinguistics aspect, etc. This research analyzed about negative politeness strategy that used by Harry Potter in J.K. Rowling’s novel Harry Potter and The Chamber of Secrets.

Negative politeness strategy is one of politeness strategy that used when the S wants to show that he / she cares and respects H’s negative face. Negative politeness is also known as respect politeness where ever participant in the social process has the need not to be disturbed and to be free.

The aims of this research are to find out the analysis of negative politeness strategy used by Harry Potter in J.K. Rowling’s novel “Harry Potter and the Chamber of Secrets”, to know in what situation Harry Potter used the Negative Politeness, and to know with whom Harry used the Negative Politeness.

This research was conducted through discourse analysis methodology. The researcher collecting data by reading the novel and analyzed the data by using technique: data reduction, data display, and analysis according to the theory of Miles and Huberman.

As the result of this research, the researcher found the negative politeness strategy used by Harry Potter in J.K. Rowling novel Harry Potter and The Chamber of Secrets are seven of ten types of negative politeness: be conventionally indirect, question-hedge, be pessimistic, minimize imposition, give deference, apologize, go on record as incurring a debt or as not indebting in the certain situation and setting. There is no utterance which belongs to impersonalize S and H, state FTA as general rule, and nominalize.
PREFACE

Alhamdulillahirabbil’alamin. My gratitude goes to Allah SWT, for all His blessings and mercies, that I can finally finish my thesis. It is only for Him I give all my hopes and wishes. Shalawat and Salam are always blessed upon our prophet Muhammad SAW who has guided us to the right way of life. This thesis entitled “An analysis of negative politeness strategy used by Harry Potter in J.K. Rowling’s novel :Harry Potter and The Chamber of Secrets” which is presented to the English Education Department of Tarbiyah Faculty in partial fulfillment the requirements for the Islamic Scholar in English Education (S.Pd.I)

Finishing this thesis is not easy and simple and I have spent much time. However it has given an invaluable experience. This thesis actually would never finish without supports, motivations, and contributions from many people. My sincere gratitude then goes to:

1. Prof. Dr. H. Maksum, MA, the Rector of IAIN Syekh Nurjati Cirebon.
2. Dr. Saefudin Zuhri, M.Ag, the Dean of Tarbiyah Faculty.
3. Dr. Hj. Huriyah Saleh, M.Pd, the Chairwoman of English Education Department and as the first Supervisor.
4. Mustopa, M. Ag. As the second supervisor.
5. All lecturers who have willingly transferred their knowledge and science to the writer when she was researching at IAIN Syekh Nurjati Cirebon.
6. All my friends in PBI A, thanks for motivating and accompanying me in both happiness and sadness. Furthermore, for all of my friends in IAIN Syekh Nurjati, thanks for our togetherness in conducting research.

Finally, I admit that this thesis is truly not perfect. Therefore, any constructive comments from the readers will make this writing can provide a valuable contribution in the field of linguistics.

Cirebon, July 2012

The writer
TABLE OF CONTENT

ABSTRACT ............................................................................................... i
ACKNOWLEDGEMENT .......................................................................... ii
RATIFICATION ....................................................................................... iii
OFFICIAL NOTE ..................................................................................... iv
LETTER OF AUTHENTICITY ................................................................... v
AUTOBIOGRAPHY ................................................................................ vi
DEDICATION ............................................................................................ vii
PREFACE ................................................................................................. vii
TABLE OF CONTENT ............................................................................. x

CHAPTER I INTRODUCTION
A. Background of the Problem ........................................................... 1
B. Identification of the Problem ....................................................... 4
C. The Limitation of the Problem ..................................................... 5
D. The Questions of the Research .................................................... 6
E. The Aims of the Research ............................................................ 6
F. The Significance of the Research ................................................ 6

CHAPTER II THEORETICAL FOUNDATION
A. Speech Act....................................................................................... 8
B. Conversational Implicature.............................................................. 8
C. Cooperative Principle ...................................................................... 9
D. Definition of Politeness ................................................................... 10
E. Face .................................................................................................. 11
F. Face Threatening Acts (FTA) .......................................................... 12
G. Brown and Levinson’s Politeness Strategies .................................. 12
   1. Bald on Record .............................................................................. 12
2. Positive Politeness .......................................................... 14
3. Negative Politeness .......................................................... 20
4. Off Record .................................................................. 27
H. Discourse Analysis ....................................................... 32
I. Previous Studies ............................................................. 33

CHAPTER III METHODOLOGY OF RESEARCH
A. The Objective of the Research ............................................. 35
B. The Object and Time of the Research .................................... 35
C. The Method of the Research ................................................ 35
D. The Source and Type of Data .............................................. 36
E. The Technique of Collecting Data ........................................ 37
F. The Technique of Analysis Data .......................................... 37

CHAPTER IV RESEARCH FINDINGS
A. Biography of J.K. Rowling .................................................. 39
B. Background to Writing Novel .............................................. 41
C. Synopsis of Harry Potter and the Chamber of Secrets .......... 43
D. Politeness Strategies Used by Harry Potter in J.K. Rowling’s Novel “Harry Potter and the Chamber of Secrets” .......... 45
E. Discussion ....................................................................... 53

CHAPTER V CONCLUSION AND SUGGESTION
A. Conclusion ...................................................................... 63
B. Suggestion ....................................................................... 64

BIBLIOGRAPHY .................................................................... 66

APPENDIX
CHAPTER I
INTRODUCTION

A. Background of Problem

Language certainly presents centrally in human life. According to Oxford Learner’s dictionary, language is system of communication in speech and writing used by people of a particular country. Another definition said that language is the use by humans of a system of sounds and words to communicate. Loredo (2002:6) stated that “a language is a set of signals by which we communicate”. As a social creature, human needs language to communicate and to interact each other. To sum up, language is the oral symbol to represent meaning to the real life and experience.

In doing communication, it is important for people to know how to make their conversation runs well and smoothly. Consequently, people need to use politeness strategy to get a good response from the hearers. Brown and Levinson stated that recognizes what people are doing in verbal exchange (e.g. requesting, offering, criticizing, complaining, etc) not so much by what they overtly claim to be doing as in the fine linguistics details of utterances.

Politeness is used by people to make a good communication and to build the balance of relationship in a society. According to Renkema (2004: 8) to make a conversation run smoothly, it needs a strategy which is called politeness. Watts (2003:53) said that politeness instructs the interactants to produce a harmonious
interaction socially. If someone wants to make a good conversation, he or she should pay attention to the strategy of politeness.

Furthermore, Holmes (1992:296) explained that politeness is an activity which involves taking account of the feelings of others. A polite person makes others feel comfortable.

In addition, Holmes (1992: 297) argued that making decisions about what is likely to be considered polite in any community involves social aspects, distance and status. Therefore, intimacy, solidarity, and close relationship in a community also influence the politeness system which will take place. That is why, using politeness strategy should pay attention to the social factors of the society.

Based on Brown and Levinson (1987: 68), politeness strategies are developed in order to save the hearer’s “face”. Moreover, Brown and Levinson (1987: 61) said that Face refers to the respect that an individual has for him or herself, and maintaining that “self-esteem” in public or in private situations. In several cases, we are supposed to avoid embarrassing the other person, or making them feel uncomfortable. Face threatening Acts (FTA’s) are acts that infringe on the hearers’ need to maintain his/her self-esteem, and be respected. Furthermore, there are four types of politeness strategy, namely: Bald on Record, Negative Politeness, Positive Politeness, and Off-Record-indirect strategy.

Many kinds of media can be used as a means of communication. One of them is a novel which contains written conversation and speech acts. It was so called
because of the perceived ‘newness’ of the genre in the eighteenth and nineteenth centuries.

Novel is a book length story in prose, that the author attempts to make the sense, namely while we read we experience actual life. Kennedy (1979:233) stated that novel is a picture of real life and manners, and of the time in which it was written. According to Pope (2002: 221), Novels can be broadly characterised as long narratives in prose dealing chiefly with contemporary life. Novels tell stories, which are typically defined as a series of events described in a sequence. Although it is fiction, most of the ideas come near of our society. And like the other literary work, it must be have social values inside. It is also quite possible that the phenomena of politeness will appear in the novel because it reflects the real situation of the society.

We can get many things from reading novel, not only having fun and relaxing. We can investigate many aspects from a novel, such as: psychological aspect, grammar aspect, sociolinguistic aspect, etc. this has been proved by several researches that investigated about novel. For example: a thesis that written by Fitria Kartika, titled “A translation research of relative pronoun shift in complex sentence in Harry Potter and the Half Blood Prince into Harry Potter dan Pangeran Berdarah Campuran”.

In term politeness, there are also some researches that were written about politeness, for instance: a thesis written by Mufidatul Ula, titled An Analysis of Negative Politeness Found in “There is something about Mary” film.
One of example of the novel is *Harry Potter and the chamber of secrets*. This novel was written by J.K Rowling. This novel was published on 1999. This novel tells about the second year of Harry Potter in Hogwarts. The story begin when Harry Potter returns to Hogwarts for his second year of school only to find that things are not as they should be and some monster hidden somewhere in the castle is attacking students. This novel is easy to understand particularly because the language is very natural and colloquial. While reading, the readers can feel the dialogues between the characters are alive.

The phenomenon that happens in this novel is that Harry Potter as the main character and a student of Hogwarts School frequently used polite utterances. He used it both of in formal and informal situation, and whether to his friends, teachers or people around him. Therefore, considering all the explanation above this research put the title “An Analysis of Negative Politeness Strategy Used by Harry Potter in J.K. Rowling’s novel *Harry Potter and the chamber of secrets*” to cover this phenomenon.

B. The Identification of Problem

The problem in this research which entitled *An Analysis of Negative Politeness Strategy Used by Harry Potter in J.K. Rowling’s Novel “Harry Potter and The Chamber of Secrets”* is classified into these following sections:

1. The Field of the Research

The field of the research in this thesis is sociolinguistics.
2. The Kinds of Problem

There are many problems in language use interpretation, as follows:

1. Speaker says something but the hearer can’t understand what the speaker intends to.

2. Speaker gives a lot of deference but it would have seemed impolite and rude.

3. The Main of the Problem

The main problem that will be investigated in this research is one kind of sociolinguistics field that is negative politeness in utterances, which will be found in a novel entitled *Harry Potter and the Chamber of Secrets*.

C. The Limitation of Problem

In order to make the analysis does not go far from the topic, the researcher limits the analysis focus to what being focused. This thesis will be focused on utterances show negative politeness strategy used by Harry Potter as the main character in *Harry Potter and the Chamber of Secrets*.

This research deals with the negative utterances. It will not deal with other utterances such as positive politeness, bald-on record politeness, indirect off record politeness.
D. The Questions of the Research

Based on the background of problem, the questions of the research are formulated as follows:

1. What negative politeness strategies are used by Harry Potter in J.K. Rowling’s Novel “Harry Potter and the Chamber of Secrets”?

2. In what situation did Harry Potter use the negative politeness in the utterances?

3. With whom did Harry Potter use the negative politeness in the utterances?

E. The Aims of the Research

The aims of the research are as follows:

1. To know politeness strategies used by Harry Potter in Harry Potter and the Chamber of Secrets.

2. To know in what situation harry Potter used the negative politeness strategy in utterances.

3. To know with whom Harry Potter used the negative politeness strategy in utterances.

F. The Significances of the Research

The writer hopes this research will be significant theoretically and practically.

The theoretical significances are:

1. To show the readers the negative politeness strategy used in utterances.
2. To show that people usually use the negative politeness strategy in their daily conversation.

The practical significance of this research is to encourage another research of politeness strategy in other created discourse such as novels, movies, short stories, etc. The writer hopes this research gives valuable information to further research, studies, etc. Furthermore, this research is expected to provide the analyzing of the negative politeness more deeply. For education field, this research is expected to give the useful understanding about negative politeness.
BIBLIOGRAPHY


